



Paris
NASH
Meeting

September 7 & 8, 2023

9th edition

The DOOR is Open: Assessing Multiple Outcomes in Phase 3 Trials

Scott Evans, PhD, MS

Director, The Biostatistics Center
Founding Chair and Professor, Department of Biostatistics and Bioinformatics
Milken Institute School of Public Health
George Washington University





Paris
NASH
Meeting

Conflict of interest disclosure

- Grants: from NIAID, NCI, NHLBI of the NIH and the CDC.
- Royalties: Chapman & Hall/CRC Press.
- Board Membership: Frontier Science Foundation
- Advisory Committee and DSMB service: FDA, NIH, BARDA, Analgesic Anesthetic and Addiction Clinical Trial Translations Innovations Opportunities and Networks (ACTTION), Pfizer, Roche, AstraZeneca, Vir, GSK, Genentech, Johnson & Johnson, International Drug Development Institute, Breast International Group, University of Pennsylvania, Duke University, Akouos, Apellis, IQVIA, Teva, DayOneBio, Rakuten, Abbvie, Clover, Candel, Takeda, Rakuten, Eli Lilly, Novartis, Medtronic



Complexities in NASH Clinical Trials

- Several important multi-organ clinical and other events
 - Death
 - CV
 - CKD
 - New onset diabetes
 - Cancer
- Critical to recognize for clinical decision-making that some events are more important than others
 - Death is more important than a non-fatal event
 - Events w/ disabling sequelae are more important than those w/ non-disabling sequelae
 - Events w/ permanent sequelae are more important than those w/ transient sequelae
 - More bad events is worse than fewer

Totality of Evidence and the Challenges in Benefit:risk Evaluation

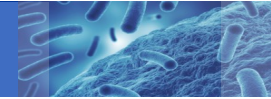
- Typical benefit:risk analyses
 - Compare interventions for each efficacy and safety outcome
 - Combine these effects
- These analyses
 - Fail to incorporate associations between outcomes
 - Fail to recognize the cumulative nature of outcomes on individual patients
 - Suffer from competing risk complexities during interpretation of individual outcomes, and
 - Since efficacy and safety analyses are often conducted on different populations, generalizability is unclear.

Question 1

- We define analysis populations
 - Efficacy: ITT population
 - Safety: safety population
- Efficacy population \neq safety population
- We combine these analyses into benefit:risk analyses
- To whom does this analysis apply?

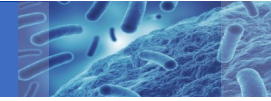
Question 2

- Suppose we measure the duration of hospitalization
- Shorter duration is better ... or is it?
- The faster the patient dies, the shorter the duration
- Interpretation of an outcome needs context of other clinical outcomes for the same patient
- Why do we analyze them separately?



Question 3

- Suppose a loved one is diagnosed with a serious disease
- You are selecting treatment
- 3 treatment options: A, B, and C
- 2 outcomes, equally important
 - Treatment success: yes/no
 - Safety event: yes/no



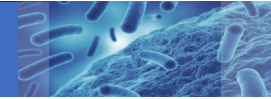
RCT Comparing A, B, and C

Analysis of Outcomes

A (N=100)

B (N=100)

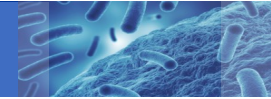
C (N=100)



RCT Comparing A, B, and C

Analysis of Outcomes

A (N=100)	B (N=100)	C (N=100)
Success: 50%	Success: 50%	Success: 50%



RCT Comparing A, B, and C

Analysis of Outcomes

A (N=100)

Success: 50%

Safety event: 30%

B (N=100)

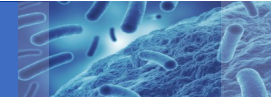
Success: 50%

Safety event: 50%

C (N=100)

Success: 50%

Safety event: 50%



RCT Comparing A, B, and C

Analysis of Outcomes

A (N=100)

Success: 50%

Safety event: 30%

B (N=100)

Success: 50%

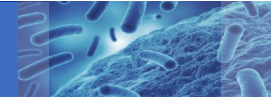
Safety event: 50%

C (N=100)

Success: 50%

Safety event: 50%

Which treatment would you choose?



RCT Comparing A, B, and C

Analysis of Outcomes

A (N=100)

Success: 50%

Safety event: 30%

B (N=100)

Success: 50%

Safety event: 50%

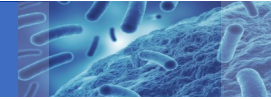
C (N=100)

Success: 50%

Safety event: 50%

Which treatment would you choose?

They all have the same success rate.



RCT Comparing A, B, and C

Analysis of Outcomes

A (N=100)

Success: 50%

Safety event: 30%

B (N=100)

Success: 50%

Safety event: 50%

C (N=100)

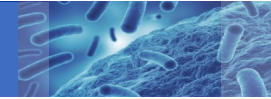
Success: 50%

Safety event: 50%

Which treatment would you choose?

They all have the same success rate.

A has the lowest safety event rate.



RCT Comparing A, B, and C

Analysis of Outcomes

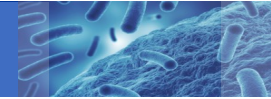
A (N=100)	B (N=100)	C (N=100)
Success: 50%	Success: 50%	Success: 50%
Safety event: 30%	Safety event: 50%	Safety event: 50%

Which treatment would you choose?

They all have the same success rate.

A has the lowest safety event rate.

B and C are indistinguishable.



RCT Comparing A, B, and C

Analysis of Outcomes

A (N=100)

Success: 50%

Safety event: 30%

B (N=100)

Success: 50%

Safety event: 50%

C (N=100)

Success: 50%

Safety event: 50%

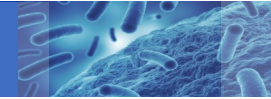
Which treatment would you choose?

They all have the same success rate.

A has the lowest safety event rate.

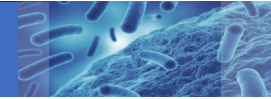
B and C are indistinguishable.

Choose A...right?



**Our culture is to use patients
to analyze the outcomes.**

**Shouldn't we use outcomes to
analyze the patients?**



Analysis of Patients: 4 Possible Outcomes

A (N=100)

Success: 50%

Safety event: 30%

		Success	
		+	-
SE	+	15	15
	-	35	35

B (N=100)

Success: 50%

Safety event: 50%

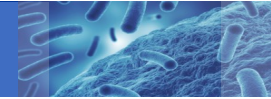
		Success	
		+	-
	+	50	0
	-	0	50

C (N=100)

Success: 50%

Safety event: 50%

		Success	
		+	-
	+	0	50
	-	50	0



Analysis of Patients: 4 Possible Outcomes

A (N=100)

Success: 50%

Safety event: 30%

		Success	
		+	-
SE	+	15	15
	-	35	35

B (N=100)

Success: 50%

Safety event: 50%

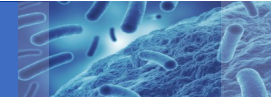
		Success	
		+	-
	+	50	0
	-	0	50

C (N=100)

Success: 50%

Safety event: 50%

		Success	
		+	-
	+	0	50
	-	50	0



Analysis of Patients: 4 Possible Outcomes

A (N=100)

Success: 50%

Safety event: 30%

		Success	
		+	-
SE	+	15	15
	-	35	35

B (N=100)

Success: 50%

Safety event: 50%

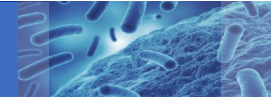
		Success	
		+	-
	+	50	0
	-	0	50

C (N=100)

Success: 50%

Safety event: 50%

		Success	
		+	-
	+	0	50
	-	50	0



Analysis of Patients: 4 Possible Outcomes

A (N=100)

Success: 50%

Safety event: 30%

		Success	
		+	-
SE	+	15	15
	-	35	35

B (N=100)

Success: 50%

Safety event: 50%

		Success	
		+	-
SE	+	50	0
	-	0	50

C (N=100)

Success: 50%

Safety event: 50%

		Success	
		+	-
SE	+	0	50
	-	50	0

Using Outcomes to Analyze Patients Rather than Patients to Analyze Outcomes: A Step Toward Pragmatism in Benefit:Risk Evaluation

Scott R. Evans^{a,b} and Dean Follmann^c

^aDepartment of Biostatistics, Harvard University, Boston, MA, USA; ^bCenter for Biostatistics in AIDS Research, Harvard University, Boston, MA, USA;

^cNational Institute of Allergy and Infectious Diseases (NIAID) of the National Institutes of Health (NIH), Bethesda, MD, USA.

**Scott's father (a math teacher) to his confused son
many years ago:**

“The order of operations is important...”

Desirability Of Outcome Ranking (DOOR)

- A patient-centric paradigm for the design, monitoring, analyses and reporting of clinical trials based on benefit:risk
- Addresses noted challenges

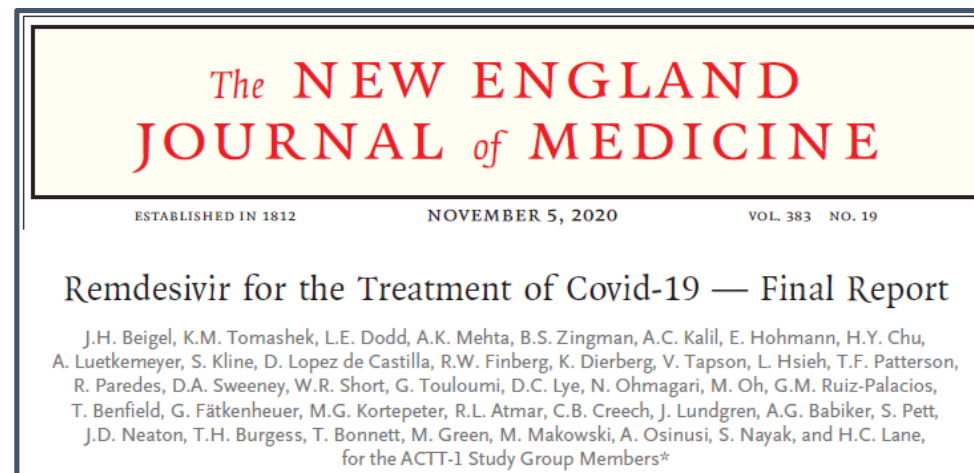
Before we analyze several hundred patients,
we must understand how to analyze one.

DOOR: A Brief Outline

- Use outcomes to analyze patients
 - Construct ordinal DOOR outcome based on the *patient journey*
- Two complimentary analyses
 1. Rank-based
 - Estimating the DOOR probability: the probability that a patient from treatment has a more desirable outcome than a patient on control
 - 50% implies equivalence
 - Intuitively attractive
 2. Partial credit (score based analyses)
- Analyze individual outcomes for comprehensive assessment

Adaptive Covid-19 Treatment Trial (ACTT-1)

- No known efficacious treatments for COVID-19 at the time
- ACTT-1
 - Randomized double-blind placebo-controlled trial of IV remdesivir in hospitalized adult COVID-19 patients w/ LRTI
 - N=1062



ACTT-1

- Important events
 - Death
 - Hospitalized with invasive mechanical ventilation / ECMO
 - SAE that is not resolved or resolved with sequelae

	Treatment	
	Remdesivir (N=541)	Placebo (N=521)
DOOR (Day 29)		
1. Alive: 0 of the other events above		
2. Alive: 1 of the other events above		
3. Alive: both of the other events above		
4. Death		

ACTT-1

- Important events
 - Death
 - Hospitalized with invasive mechanical ventilation / ECMO
 - SAE that is not resolved or resolved with sequelae

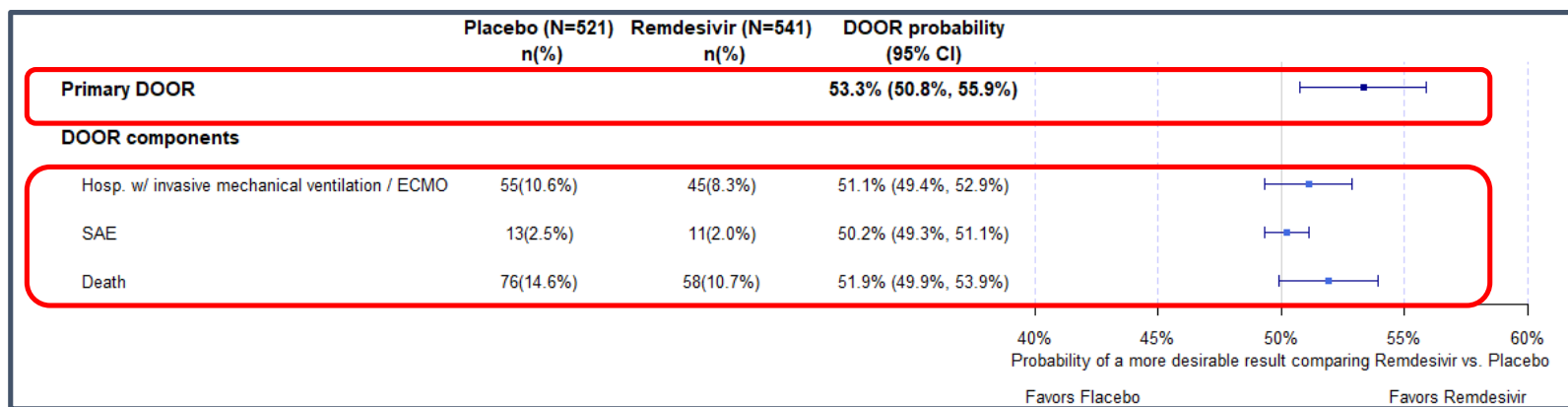
	Treatment	
DOOR (Day 29)	Remdesivir (N=541)	Placebo (N=521)
1. Alive: 0 of the other events above		382 (73.3%)
2. Alive: 1 of the other events above		57 (10.9%)
3. Alive: both of the other events above		6 (1.2%)
4. Death		76 (14.6%)

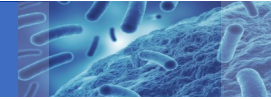
- Will there be a northward migration to more desirable categories with treatment?

ACTT-1

- Important events
 - Death
 - Hospitalized with invasive mechanical ventilation / ECMO
 - SAE that is not resolved or resolved with sequelae

	Treatment	
	Remdesivir (N=541)	Placebo (N=521)
DOOR (Day 29)		
1. Alive: 0 of the other events above	433 (80.0%)	382 (73.3%)
2. Alive: 1 of the other events above	42 (7.8%)	57 (10.9%)
3. Alive: both of the other events above	8 (1.5%)	6 (1.2%)
4. Death	58 (10.7%)	76 (14.6%)





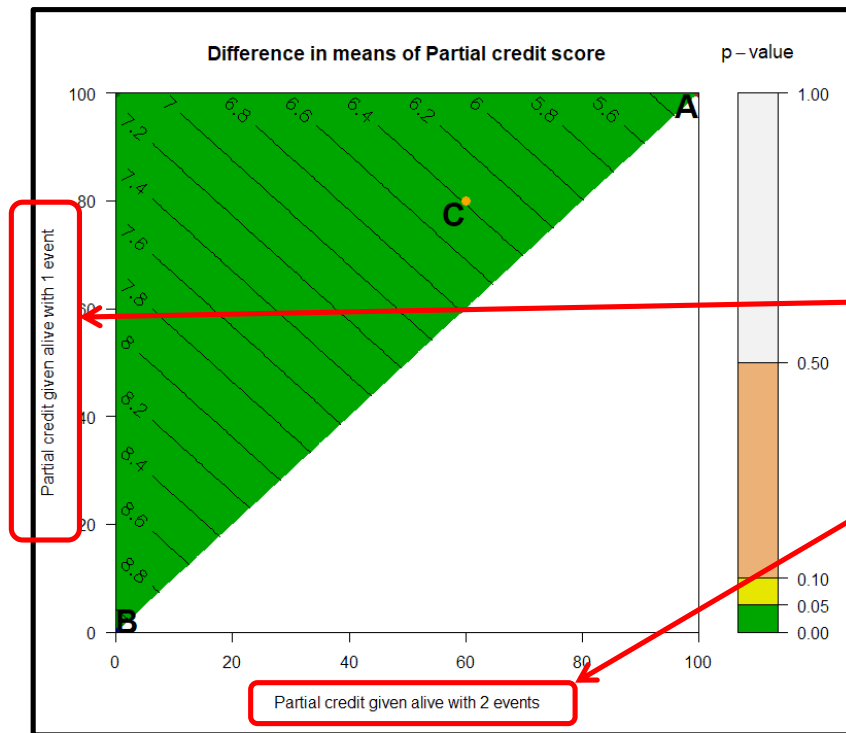
PARTIAL CREDIT

	Score
1. Alive: 0 of the events	100
2. Alive: 1 of the events	Partial credit
3. Alive: both of the events	Partial credit
4. Death	0

Partial credit can be used to account for:

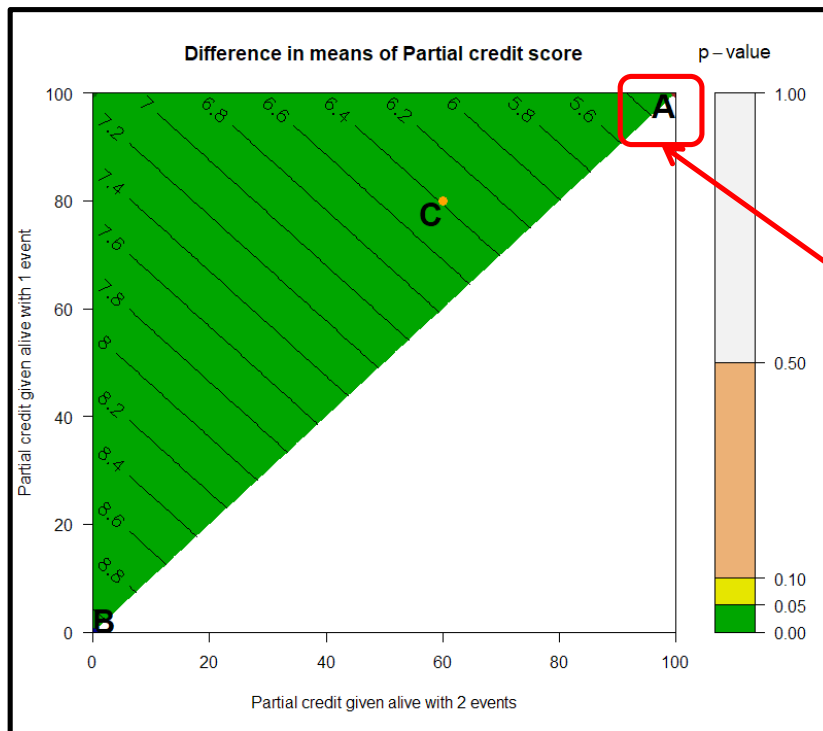
- 1. Strategic distancing between steps in a calculated way**
- 2. Personalized perspectives among patients / clinicians regarding the desirability of the categories**
- 3. Robustness analyses**

Contours of Effects as Partial Credit Varies



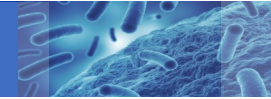
Category	Credit
Alive; 0 event	100
Alive; 1 event	Partial credit
Alive; both events	Partial credit
Death	0

Survival

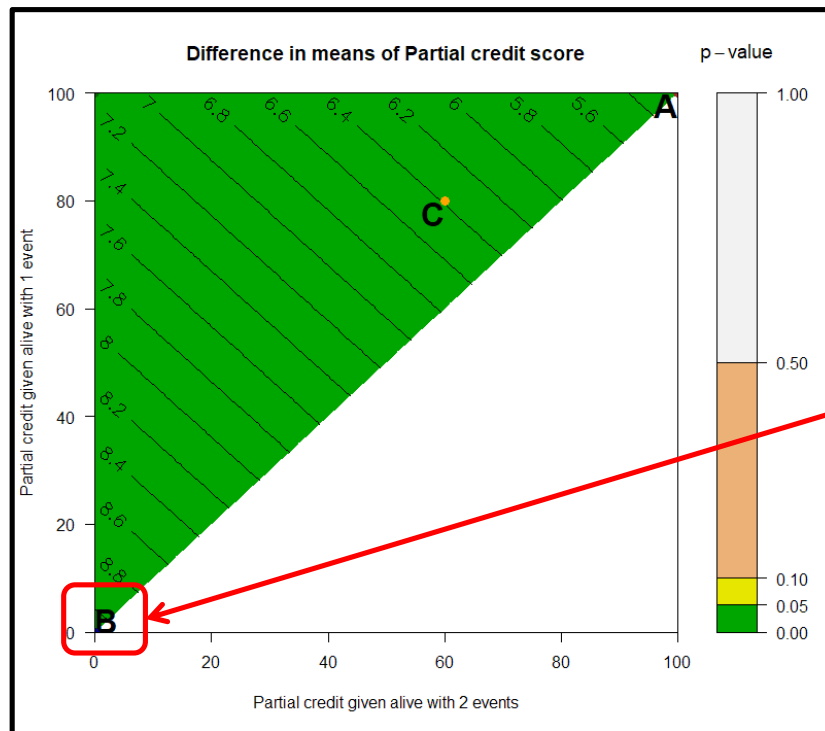


Category	Credit
Alive 0 events	100
Alive; 1 event	100
Alive; both events	100
Death	0

Remdesivir Advantage $\approx 5.2\%$

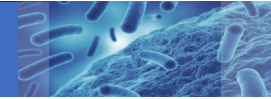


Alive; 0 Events

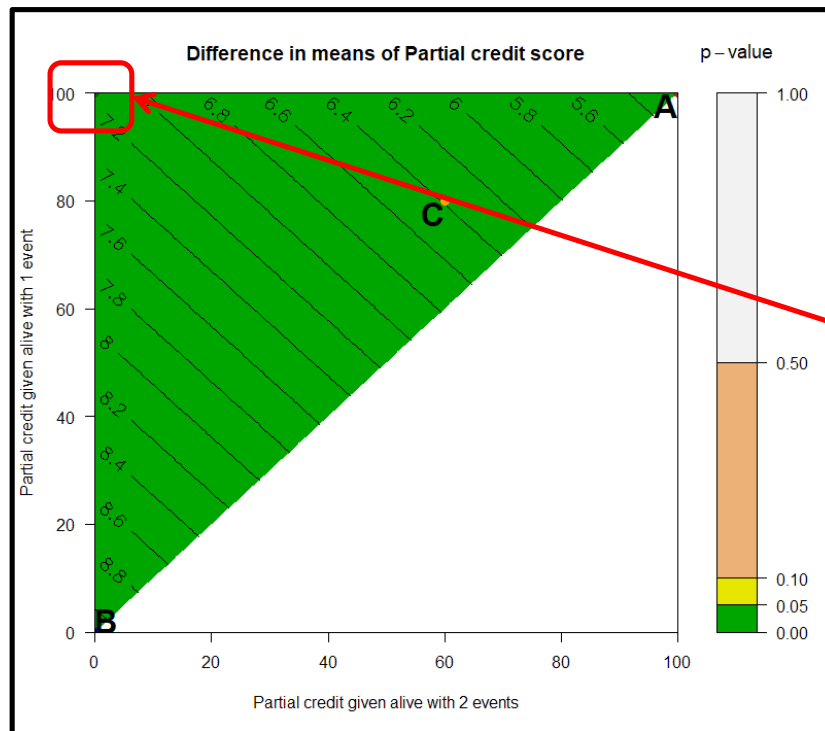


Category	Credit
Alive; 0 events	100
Alive; 1 event	0
Alive; both events	0
Death	0

Remdesivir Advantage $\approx 9.0\%$

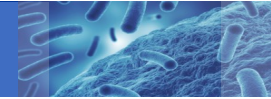


Alive with 0 or 1 Events

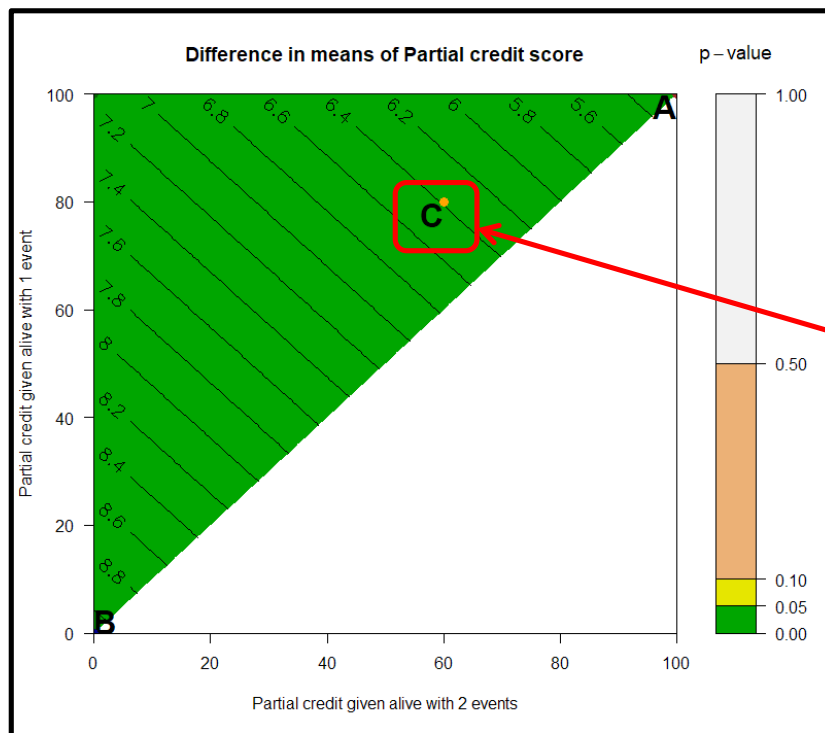


Category	Credit
Alive; 0 events	100
Alive; 1 event	100
Alive; both events	0
Death	0

Remdesivir Advantage $\approx 7.2\%$



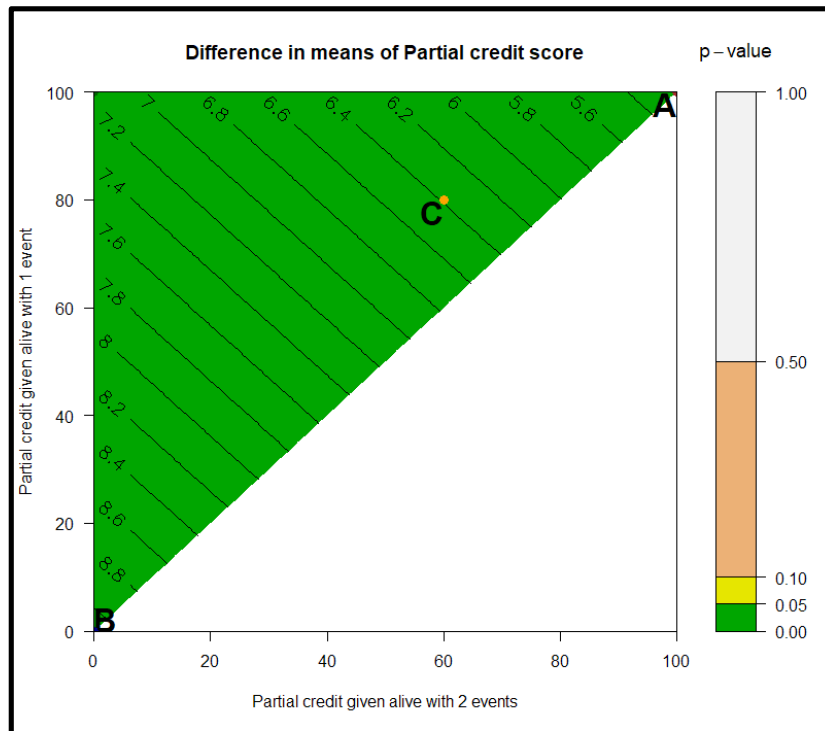
Compromise



Category	Credit
Alive; 0 events	100
Alive; 1 event	80
Alive; both events	60
Death	0

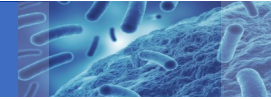
Remdesivir Advantage $\approx 6.4\%$

Robustness

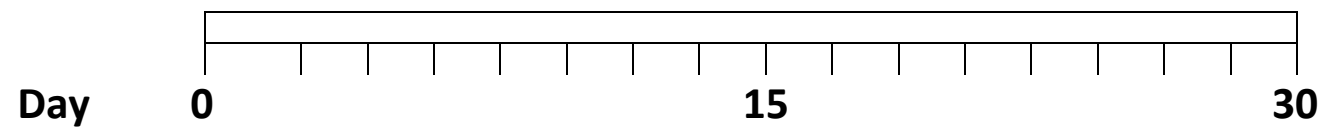


- Numeric results vary by partial credit grading key, though robustness is demonstrated as green color indicates statistical significance everywhere

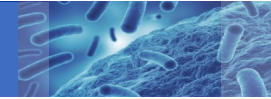
Anthology of Patient Stories



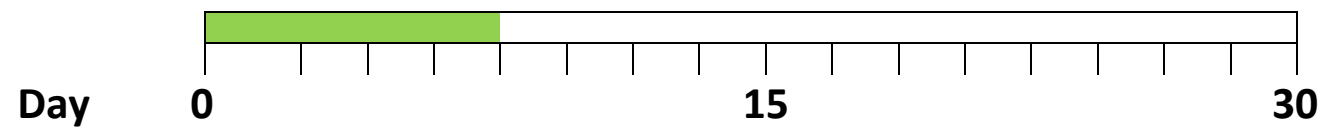
The Patient Story



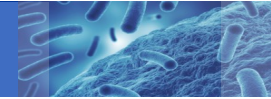
Alive with 0 events	
Alive with 1 event	
Alive with 2 events	
Death	



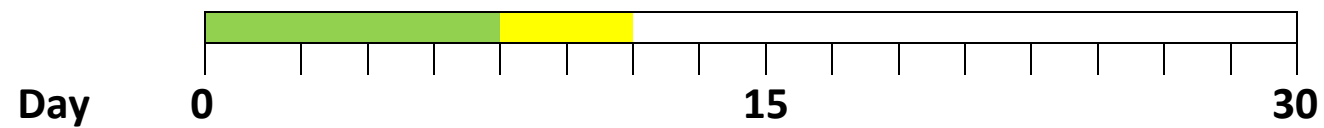
The Patient Story



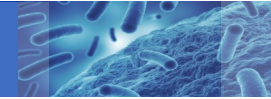
Alive with 0 events	
Alive with 1 event	
Alive with 2 events	
Death	



The Patient Story



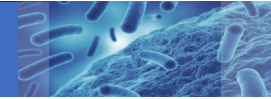
Alive with 0 events	Green
Alive with 1 event	Yellow
Alive with 2 events	Red
Death	Black



The Patient Story



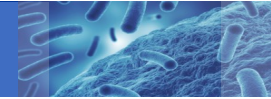
Alive with 0 events	<div></div>
Alive with 1 event	<div></div>
Alive with 2 events	<div></div>
Death	<div></div>



The Patient Story



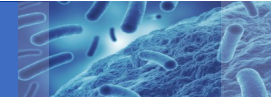
Alive with 0 events	
Alive with 1 event	
Alive with 2 events	
Death	



The Patient Story






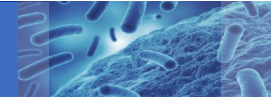
Alive with 0 events	
Alive with 1 event	
Alive with 2 events	
Death	



The Patient Story

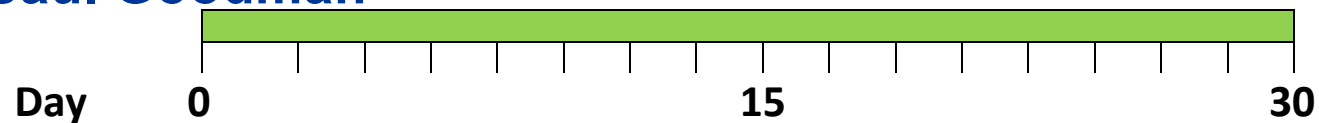


Alive with 0 events	
Alive with 1 event	
Alive with 2 events	
Death	



The Trial Anthology: A Collection of Patient Stories

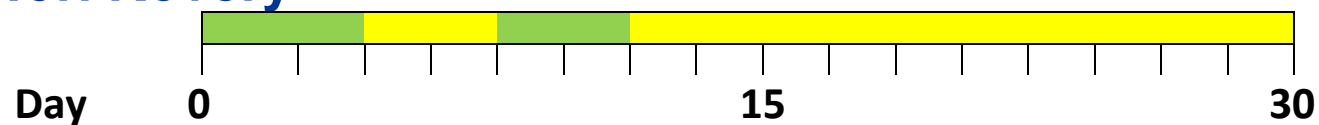
Saul Goodman



Ita Lendswell



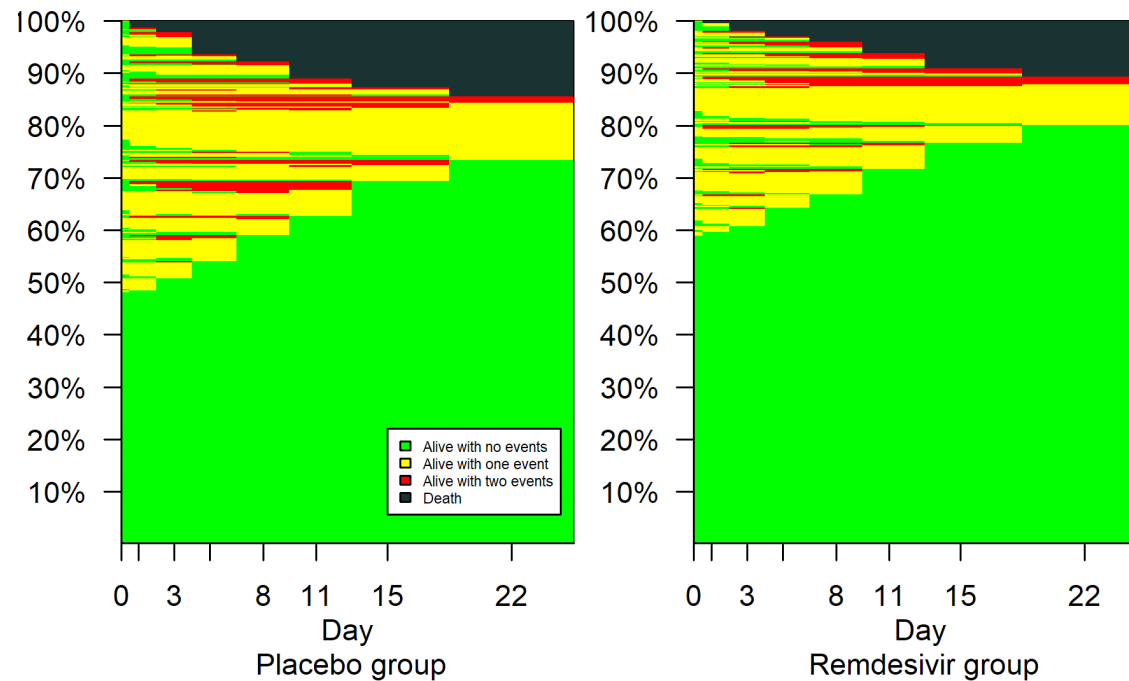
Nori Koverly



Statistician Marge N. O'vera



The Trial Anthology of Patient Stories



- Mortality at Day 29: 14.6% in placebo; 10.7% in Remdesivir
- No events at Day 29: 73.3% in placebo; 80% in Remdesivir
- No events in all time intervals: 48% in placebo; 58.8% in Remdesivir



FDA Antibacterial Drug Resistance (DOOR) Fellowship

ORISE | Silver Spring, MD



10 months ago

Clinical Infectious Diseases

MAJOR ARTICLE



OXFORD

Improving Traditional Registrational Trial End Points: Development and Application of a Desirability of Outcome Ranking End Point for Complicated Urinary Tract Infection Clinical Trials

Jessica Howard-Anderson,^{1,10} Toshimitsu Hamasaki,² Weixiao Dai,² Deborah Collyar,³ Daniel Rubin,⁴ Sumathi Nambiar,⁵ Tori Kinamon,⁶ Carol Hill,⁶ Steven P. Gelone,⁷ David Mariano,⁷ Takamichi Baba,⁸ Thomas L. Holland,^{8,9} Sarah B. Doernberg,¹⁰ Henry F. Chambers,¹⁰ Vance G. Fowler Jr.,^{8,9} Scott R. Evans,² Helen W. Boucherand¹¹; on behalf of the Antibacterial Resistance Leadership Group

Clinical Infectious Diseases

MAJOR ARTICLE



OXFORD

Exploration of a Potential Desirability of Outcome Ranking Endpoint for Complicated Intra-abdominal Infections Using 9 Registrational Trials for Antibacterial Drugs

Tori Kinamon,^{1,2,3} Ramya Gopinath,¹ Ursula Waack,¹ Mark Needles,¹ Daniel Rubin,¹ Deborah Collyar,⁴ Sarah B. Doernberg,⁵ Scott Evans,^{6,7} Toshimitsu Hamasaki,^{6,7} Thomas L. Holland,^{2,8} Jessica Howard-Anderson,^{7,9} Henry Chambers,^{5,7} Vance G. Fowler Jr.,^{2,7} Sumathi Nambiar,^{7,10} Peter Kim,¹ and Helen W. Boucher^{7,11}

- Council for International Organizations of Medical Sciences (CIOMS) in Geneva is expected to recommend regular including of DOOR in trial protocols to enhance benefit:risk assessment

A DOORable NASH Trials?

- Important events
 - Death
 - CV event
 - CKD
 - New onset diabetes
 - Severe toxicities from therapy, e.g., requiring dialysis

	Treatment	
DOOR	Treatment	Control
1. Alive: 0 events		
2. Alive: 1 event		
3. Alive: 2 events		
4. Alive: >2 events		
5. Death		

Freely-available Online Analysis Tool

<https://methods.bsc.gwu.edu/>

- Summary tables and graphics
- FDA involvement with approving this as a regulatory science tool
- Design tool in development



Paris
NASH
Meeting

Conclusions

- The effects of interventions are multidimensional
- Use outcomes to analyze patients rather than patients to analyze outcomes
 - A closer reflection of the effects on patients
- DOOR
 - Effective tool for evaluating totality of patient-centric effects (benefit:risk)
 - May be tailored for NASH
 - Analysis of individual components is part of comprehensive DOOR analyses
 - May be sensitive due to recognition of finer gradations of patient response



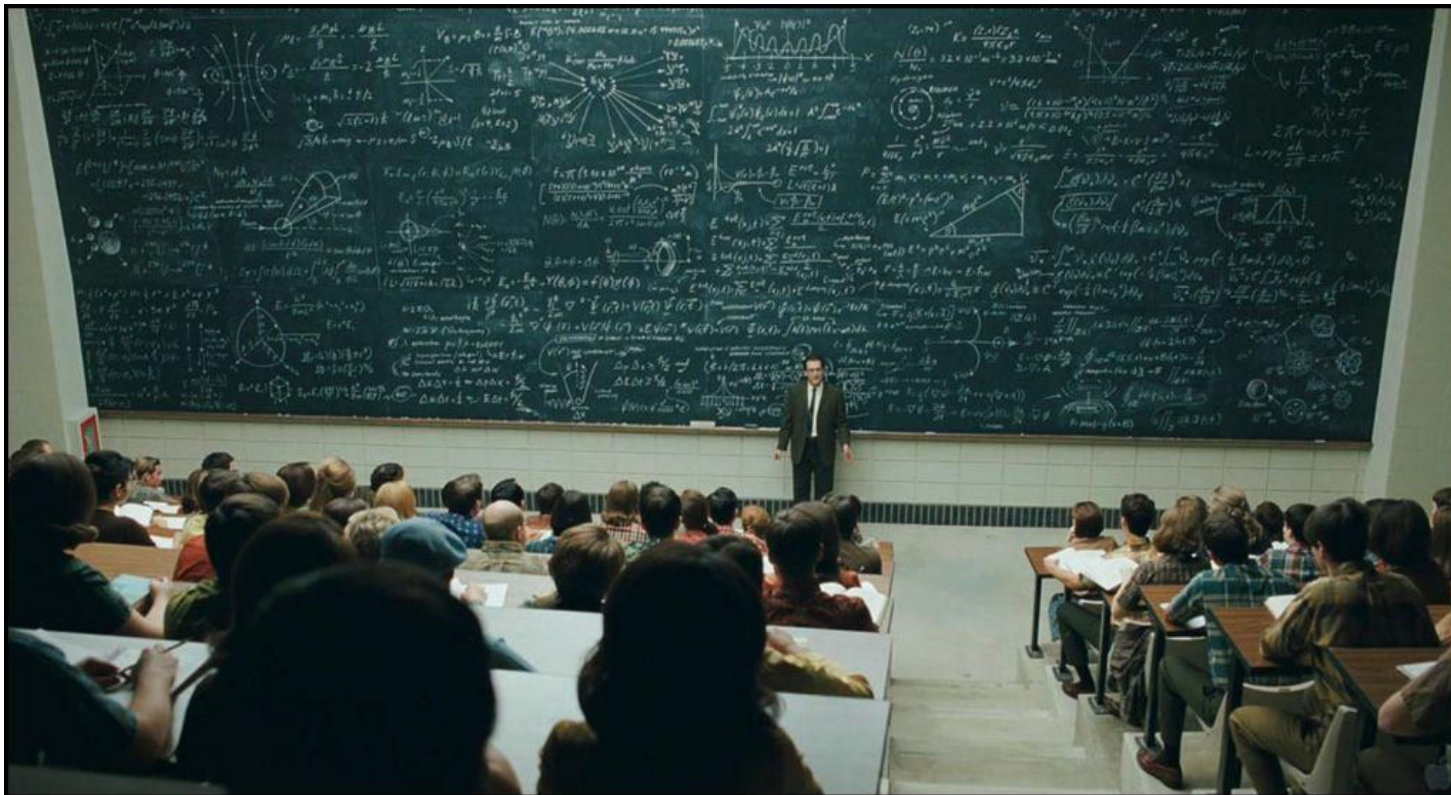
Paris
NASH
Meeting

Significant Contributors ($p < 0.001$)

- Toshi Hamasaki
- Dean Follmann
- Dan Rubin
- Guoqing Diao
- Weixiao Dai
- Antibacterial Resistance Leadership Group
- ACTT-1 Investigators



Paris
NASH
Meeting



I know that you will enthusiastically applaud now...
Because you are so relieved that it is over.
Thank you.



**Paris
NASH
Meeting**